EXHIBIT 300   **UII 016-000002125**

Exhibit 300: Capital Asset Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview & Summary Information

Date Investment First Submitted: 2009-06-30
Date of Last Change to Activities: 2012-08-24
Investment Auto Submission Date: 2012-02-24
Date of Last Investment Detail Update: 2012-02-24
Date of Last Exhibit 300A Update: 2012-02-24
Date of Last Revision: 2012-08-24

Agency: 016 - Social Security Administration   **Bureau:** 00 - Agency-Wide Activity

Investment Part Code: 01

Investment Category: 00 - Agency Investments

1. Name of this Investment: DDS Automation

2. Unique Investment Identifier (UII): 016-000002125

Section B: Investment Detail

1. **Provide a brief summary of the investment, including a brief description of the related benefit to the mission delivery and management support areas, and the primary beneficiary(ies) of the investment. Include an explanation of any dependencies between this investment and other investments.**

   The Social Security Act mandates that Disability Determination Services (DDS) offices in each state determine disability claims for those filing for disability benefits in an equitable and efficient manner. To carry out this function, the Social Security Administration's (SSA) DDS Automation program provides DDS offices with technology to collect, process, maintain, share, transmit, disseminate, store and retrieve claimant information electronically. The DDS Automation program is comprised of multiple operational systems supporting DDS offices across the country. SSA is continuing to maintain and in limited cases improve upon functional and technical aspects of these systems to support ongoing disability determination operations while the agency develops a single system to replace the current legacy systems. Since FY2004, SSA has incrementally enhanced the DDS legacy systems to improve their performance and maintain consistency with headquarters' system improvements and changes. DDS Automation is closely coordinated with the Intelligent Disability (IDIB) Program. As IDIB makes enhancements to the Electronic Folder during software releases, the DDS Automation program updates its legacy systems to accommodate these changes. Per federal capital planning best practices and guidance, SSA reviewed various alternatives for DDS Automation and determined that a common case processing system would be more beneficial to the agency than the current state-specific DDS Automation systems. Based on this analysis, the agency decided to create a common case processing system called the

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Disability Case Processing System (DCPS) that will replace the current DDS Automation systems. DCPS will provide a common case processing system with uniform functionality and consistent support for the business processes of DDS offices nationwide. Until the DCPS implementation is complete, DDS Automation will continue to provide DDSs with the technology necessary to process disability claims electronically and upon the full implementation of DCPS the DDS Automation systems will be retired.

2. **How does this investment close in part or in whole any identified performance gap in support of the mission delivery and management support areas? Include an assessment of the program impact if this investment isn't fully funded.**

 The DDS Automation program directly supports SSA's Annual Performance Plan (APP) by helping to close immediate and long term performance gaps addressed in SSA Strategic Goal 2: Improve the Speed and Quality of the Disability Process. In doing so, the DDS Automation Program incrementally updates DDS legacy system software and hardware in order to support strategic objectives such as fast tracking cases that clearly meet disability standards and regularly updating disability policies and procedures. The goal and objective of DDS Automation is to provide enhancements and functionality that will support increased efficiency and accuracy of case processing. SSA will utilize funds for staffing support and to refine processing methods and technical capabilities to screen and fast-track disability cases. In sum, DDS Automation will continue to support SSA's mission and goals to reduce hearings and initial disability claims backlogs, and build on the tremendous progress achieved over the past few years until the full implementation of DCPS. Reduced funding will not allow SSA to achieve the incremental improvements to the disability case management process.

3. **Provide a list of this investment's accomplishments in the prior year (PY), including projects or useful components/project segments completed, new functionality added, or operational efficiency achieved.**

 In FY2011, DDS Automation implemented Fiscal Payments and Electronic Records Express capabilities to all DDS Components under the Electronic Folder Initiative (EFI). These capabilities allow evidence providers to submit their requests for payment electronically to the DDS. DDS Automation also implemented the requirements for Special Notice Option (SNO), which allows the DDSs to send and receive Title II and Title XVI blind or visually impaired claimants, beneficiaries, recipients and representative payees SSA notices and correspondences in their SNO preference format (such as Braille, audio CD, etc.).

4. **Provide a list of planned accomplishments for current year (CY) and budget year (BY).**

 Continued investments are required to maintain the currency and operation of hardware and software and to provide for ongoing integration with other SSA systems and other support services. Planned accomplishments in FY2012 include functionality to support Compassionate Allowances, the Electronic Case Analysis Tool, Continuing Disability Reviews (CDR), Health Information Technology (HIT), SNO, and Electronic Records Express (ERE). This will also benefit DCPS and rollout of the Case Analysis Tool.   For FY2013, SSA will evaluate the need for any further enhancements to the legacy DDS Automation systems in light of impending migration to the DCPS.

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5. **Provide the date of the Charter establishing the required Integrated Program Team (IPT) for this investment. An IPT must always include, but is not limited to: a qualified fully-dedicated IT program manager, a contract specialist, an information technology specialist, a security specialist and a business process owner before OMB will approve this program investment budget. IT Program Manager, Business Process Owner and Contract Specialist must be Government Employees.**

2011-08-26

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Section C: Summary of Funding (Budget Authority for Capital Assets)

1.

Table I.C.1 Summary of Funding				
	PY-1 & Prior	PY 2011	CY 2012	BY 2013
Planning Costs:	$0.0	$0.0	$0.0	$0.0
DME (Excluding Planning) Costs:	$180.9	$27.9	$16.1	$13.5
DME (Including Planning) Govt. FTEs:	$17.2	$5.5	$4.4	$3.3
Sub-Total DME (Including Govt. FTE):	$198.1	$33.4	$20.5	$16.8
O & M Costs:	$38.6	$0.6	$7.2	$13.5
O & M Govt. FTEs:	$13.6	$0.1	$2.0	$3.3
Sub-Total O & M Costs (Including Govt. FTE):	$52.2	$0.7	$9.2	$16.8
Total Cost (Including Govt. FTE):	$250.3	$34.1	$29.7	$33.6
Total Govt. FTE costs:	$30.8	$5.6	$6.4	$6.6
# of FTE rep by costs:	270	45	50	50

Total change from prior year final President's Budget ($)		$7.0	$-4.7	
Total change from prior year final President's Budget (%)		25.70%	-13.70%	

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2. If the funding levels have changed from the FY 2012 President's Budget request for PY or CY, briefly explain those changes:

FY12 decrease reflects revisions to the Iron Data/legacy system software enhancement estimates. Revised estimates include only enhancements required for integration with other SSA systems and other support services. FY11 change primarily attributable to the omission of program government personnel in the previous submission.

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Section D: Acquisition/Contract Strategy (All Capital Assets)

Table I.D.1 Contracts and Acquisition Strategy											
Contract Type	EVM Required	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	IDV Agency ID	Solicitation ID	Ultimate Contract Value ($M)	Type	PBSA ?	Effective Date	Actual or Expected End Date
Awarded	2800	SS000840004	GS35F4984H	4730							
Awarded	2800	SS001060108									
Awarded	2800	SS001160059									

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:
SSA's Earned Value Management (EVM) policy and implementation was reviewed by OMB, OIG and others and deemed consistent with the intent of OMB's M-10-27 (and its predecessors) and the ANSI standards, which define a compliant EVM System.   SSA performs the vast majority of our work in-house, and thus conducts EVM and program management at the total program level which includes both Government costs and support contracts. The inclusion of Earned Value in SSA contracts is based on the type of contract let, the services performed, and the date when the contract was let.   When applicable per policy, Earned Value Management requirements are applied to SSA contractors in one of two ways. The first is to require the contractor to satisfy requirements in accordance with FAR 52.234. SSA currently has seven contracts that include a modified EVM FAR clause. The second is for the contractor to provide necessary data directly into SSA's in-house EVMS. SSA's in-house, program-level EVMS enables contractor efforts to be easily and separately monitored, yet produces data attributable to the component and sub-component level. Where appropriate, successor contracts to expiring SSA contracts include a modified EVM FAR clause suited to SSA's program level EVMS. Examples include the IT Support Services Contract (ITSSC) replacing the Agency Wide Support Services Contract (AWSSC), and the DCPS contract eventually replacing the Versa and Levy (Iron Data) contracts.

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Exhibit 300B: Performance Measurement Report

Section A: General Information

Date of Last Change to Activities: 2012-08-24

Section B: Project Execution Data

Table II.B.1 Projects					
Project ID	**Project Name**	**Project Description**	**Project Start Date**	**Project Completion Date**	**Project Lifecycle Cost ($M)**
DDSA06	FY11 Disability Determination Services Automation (DDSA)	FY11 Disability Determination Services Automation (DDSA).			
DDSA0701	FY12 - DDS Support	IBM support of SSA's IBM Systems used by all DDSs, including daily systems support, system infrastructure upgrades to hardware and software when necessary, Training, and WebSphere MQ Technical Support. In addition DDS Support provides onsite and remote State Account Manager support to all DDSs for software/hardware implementation. SAMs provide the DDSs with assistance in testing software changes and with troubleshooting of systems issues.			
DDSA0702	FY12 - Iron Data (ID)	Provides Iron Data (ID) support to the 17 Toronto and 30 St. Louis Legacy System-Supported DDSs for troubleshooting and software updates. Planned accomplishments include Legacy System functionality to support Intelligent Disability projects:			

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		Table II.B.1 Projects			
Project ID	**Project Name**	**Project Description**	**Project Start Date**	**Project Completion Date**	**Project Lifecycle Cost ($M)**
		Compassionate Allowances (CAL), the Electronic Case Analysis Tool (eCAT), Continuing Disability Reviews (CDR), Health Information Technology (HIT), Special Notice Option (SNO), Electronic Records Express (ERE), and Electronic Folder Interface (EFI).			
DDSA0703	FY12 - MIDAS	Develops new software releases and provides software support for the MIDAS Legacy System-Supported DDSs. Planned accomplishments include Legacy System functionality to support Intelligent Disability projects: Compassionate Allowances (CAL), the Electronic Case Analysis Tool (eCAT), Continuing Disability Reviews (CDR), Health Information Technology (HIT), Special Notice Option (SNO), Electronic Records Express (ERE), and Electronic Folder Interface (EFI).			
DDSA0704	FY12 - Independent DDS Support	Provides software support, testing, and troubleshooting for the NY & NE DDSs, which are supported by two different Independent Legacy Systems.			
DDSA0705	FY12 - Management Support	Management and overhead work years are based on the total number of FTEs and Contractors allocated to this program for project-related work.			
DDSA0706	FY12 - Non-DCS Support	GS-2210 IT Specialists supporting major IT initiatives that work in various agency-level offices outside the Office of Systems.			

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Table II.B.1 Projects					
Project ID	Project Name	Project Description	Project Start Date	Project Completion Date	Project Lifecycle Cost ($M)

Activity Summary							
Roll-up of Information Provided in Lowest Level Child Activities							
Project ID	Name	Total Cost of Project Activities ($M)	End Point Schedule Variance (in days)	End Point Schedule Variance (%)	Cost Variance ($M)	Cost Variance (%)	Total Planned Cost ($M)	Count of Activities
DDSA06	FY11 Disability Determination Services Automation (DDSA)							
DDSA0701	FY12 - DDS Support							
DDSA0702	FY12 - Iron Data (ID)							
DDSA0703	FY12 - MIDAS							
DDSA0704	FY12 - Independent DDS Support							
DDSA0705	FY12 - Management Support							
DDSA0706	FY12 - Non-DCS Support							

Key Deliverables								
Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days)	Schedule Variance (%)
DDSA06	FY11 - DDSA	FY11 Disability Determination Services Automation (DDSA).	2011-09-30	2011-09-30	2011-09-30	364	0	0.00%
DDSA0703	MIDAS Releases 1st & 2nd Quarters	Provides necessary MIDAS Legacy System software changes to align the Legacy software with EFI Release 18.0 and the most up-to-date functionality for;	2012-03-31	2012-03-31	2012-03-31	180	0	0.00%

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				Key Deliverables				
Project Name	**Activity Name**	**Description**	**Planned Completion Date**	**Projected Completion Date**	**Actual Completion Date**	**Duration (in days)**	**Schedule Variance (in days)**	**Schedule Variance (%)**
		Compassionate Allowances (CAL), the Electronic Case Analysis Tool (eCAT), Continuing Disability Reviews (CDR), Health Information Technology (HIT), Special Notice Option (SNO), and Electronic Records Express (ERE). Other required enhancements may arise as a result of policy changes and major problems.						
DDSA0702	ID-T Development 1st & 2nd Quarters	Provides necessary Legacy System software changes to align the Iron Data Toronto (ID-T) Legacy software with EFI Release 18.0 and the most up-to-date functionality for Compassionate Allowances (CAL), the Electronic Case Analysis Tool (eCAT), Continuing Disability Reviews (CDR), Health Information Technology (HIT), Special Notice Option (SNO), and Electronic Records Express (ERE). Other required enhancements may	2012-03-31	2012-03-31	2012-03-31	180	0	0.00%

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				Key Deliverables				
Project Name	**Activity Name**	**Description**	**Planned Completion Date**	**Projected Completion Date**	**Actual Completion Date**	**Duration (in days)**	**Schedule Variance (in days)**	**Schedule Variance (%)**
		arise as a result of policy changes and major problems.						
DDSA0702	ID-S Development 1st & 2nd Quarters	Provides necessary Legacy System software changes to align the Iron Data Toronto (ID-T) Legacy software with EFI Release 18.0 and the most up-to-date functionality for Compassionate Allowances (CAL), the Electronic Case Analysis Tool (eCAT), Continuing Disability Reviews (CDR), Health Information Technology (HIT), Special Notice Option (SNO), and Electronic Records Express (ERE). Other required enhancements may arise as a result of policy changes and major problems.	2012-03-31	2012-03-31	2012-03-31	180	0	0.00%
DDSA0702	ID-S Development 3rd & 4th Quarters	Provides necessary Legacy System software changes to align the Iron Data Toronto (ID-T) Legacy software with EFI Release 18.0 and the most up-to-date functionality for Compassionate Allowances (CAL), the	2012-09-30	2012-09-30		182	0	0.00%

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				Key Deliverables				
Project Name	**Activity Name**	**Description**	**Planned Completion Date**	**Projected Completion Date**	**Actual Completion Date**	**Duration (in days)**	**Schedule Variance (in days)**	**Schedule Variance (%)**
		Electronic Case Analysis Tool (eCAT), Continuing Disability Reviews (CDR), Health Information Technology (HIT), Special Notice Option (SNO), and Electronic Records Express (ERE). Other required enhancements may arise as a result of policy changes and major problems.						
DDSA0703	MIDAS Releases 3rd & 4th Quarters	Provides necessary MIDAS Legacy System software changes to align the Legacy software with EFI Release 21.0 and the most up-to-date functionality for; Compassionate Allowances (CAL), the Electronic Case Analysis Tool (eCAT), Continuing Disability Reviews (CDR), Health Information Technology (HIT), Special Notice Option (SNO), and Electronic Records Express (ERE). Other required enhancements may arise as a result of policy changes and major problems.	2012-09-30	2012-09-30		182	0	0.00%

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						Key Deliverables		
Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days)	Schedule Variance (%)
DDSA0702	ID-T Development 3rd & 4th Quarters	Provides necessary Legacy System software changes to align the Iron Data Toronto (ID-T) Legacy software with EFI Release 18.0 and the most up-to-date functionality for Compassionate Allowances (CAL), the Electronic Case Analysis Tool (eCAT), Continuing Disability Reviews (CDR), Health Information Technology (HIT), Special Notice Option (SNO), and Electronic Records Express (ERE). Other required enhancements may arise as a result of policy changes and major problems.	2012-09-30	2012-09-30		182	0	0.00%

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Section C: Operational Data

Table II.C.1 Performance Metrics								
Metric Description	Unit of Measure	FEA Performance Measurement Category Mapping	Measurement Condition	Baseline	Target for PY	Actual for PY	Target for CY	Reporting Frequency
Complete the budgeted number of initial disability claims	Number of Claims	Mission and Business Results - Services for Citizens	Over target	3161314.000000	3273000.000000	3390936.000000	3173000.000000	Semi-Annual
Achieve the target percentage of initial disability cases identified as a Quick Disability Determination or a Compassionate Allowance	Percent	Process and Activities - Productivity	Over target	4.600000	5.000000	5.900000	5.500000	Semi-Annual
System Availability (obtained from current service level agreement)	Percent	Technology - Reliability and Availability	Over target	99.500000	99.500000		99.500000	Monthly
Response Time (obtained from current service level agreement)	Seconds	Customer Results - Timeliness and Responsiveness	Under target	9.460000	11.900000	11.900000	11.900000	Semi-Annual
Minimize average processing time for initial disability claims	Days	Process and Activities - Productivity	Under target	111.000000	118.000000	109.000000	111.000000	Semi-Annual